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SEC  
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Section

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

MAY 11 2017

FORM 19b-4(e)

Washington  
408

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**The NASDAQ Stock Market LLC**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open End Management Investment Company**

3. Class of New Derivative Securities Product:

**Exchange Traded Fund**

4. Name of Underlying Instrument:

**Active portfolio of primarily equity securities**



17002818

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**N/A**

6. Ticker Symbol(s) of New Derivative Securities Product:

**CACG**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**NASDAQ, NYSE**

8. Settlement Methodology of New Derivatives Product:

**Regular way trades settle on T + 3 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):

**N/A**

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**William Slattery**

Title:

**Vice President**

Securities and Exchange

Telephone Number:

**1-301-978-8088**

Manual Signature of Official Responsible for Form:

MAY 11 2017

RECEIVED

Date: **May 4, 2017**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 11 2017